

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))			Docket No. 17199
In Re Application Of: Masataka Andoh, et al.			
Serial No. 10/697,762	Filing Date October 30, 2003	Examiner	Group Art Unit 2857
Title: EDR DIRECTION ESTIMATING METHOD, SYSTEM AND PROGRAM, AND MEMORY MEDIUM FOR STORING THE PROGRAM			
Address to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450			
37 CFR 1.97(b)			
1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.			
37 CFR 1.97(c)			
2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:			
<input type="checkbox"/> the statement specified in 37 CFR 1.97(e);			
OR			
<input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).			

~~(Under 37 CFR 1.97(b) or 1.97(c))~~

In Re Application: **Masataka Andoh, et al.**

10/697,762

Filing Date
October 30, 2003

Group Art Unit
2857

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **19-1013 SSMP**
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Paul J. Esatto, Jr.

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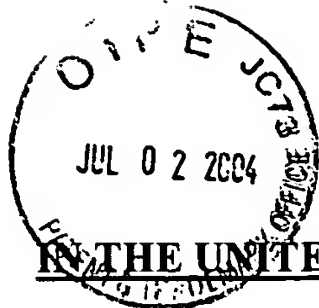
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Signature

Dated: June 28, 21004

Paul J. Esatto, Jr., Reg. No. 30,749
Customer No. 23389

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Masataka Andoh et al.

Examiner: Unassigned

Serial No: 10/697,762

Art Unit: 2857

Filed: October 30, 2003

Docket: 17199

For: EDR DIRECTION ESTIMATING METHOD,
SYSTEM, AND PROGRAM, AND MEMORY
MEDIUM FOR STORING THE PROGRAM

Dated: June 28, 2004

Confirmation No. 8756

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

INFORMATION DISCLOSURE STATEMENT

In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. Ker-Chau Li, "Sliced Inverse Regresssion for Dimension Reduction", Journal of the American Statistical Association, June 1991, Vol. 86, No. 414, pp. 316-342.
2. Wolfgang Haerdle, et al., "Optimal Smoothing in Single-Index Models", The Annals of Statistics, 1993, Vol. 21, No. 1, 157-178.

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 on June 28, 2004.

Dated: June 28, 2004


Paul J. Esatto, Jr.

3. Yingcun Xia, "An Adaptive Estimation of Dimension Reduction Space", J.R. Statist. Soc. B, 2002, Vol. 64, Part 3, pp. 363-410.

Applicants are submitting a copy of the above-cited references. The relevance of the above-identified references has been described in the specification.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(b), no statement or fee is required.

Respectfully submitted,



Paul J. Esatto, Jr.
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PJE:AE

Form PTO-1449 U.S. DEPARTMENT OF COMMERCE
(REV. 7-80) PATENT AND TRADEMARK OFFICE**INFORMATION DISCLOSURE CITATION***(Use several sheets if necessary)***Atty. Docket No. (Optional)**

17199

Application Number

10/697,762

Applicant(s)

Masataka Andoh, et al.

Filing Date

October 30, 2003

Group Art Unit

2857

U.S. PATENT DOCUMENTS

EXAMINER INITIAL*		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (if appropriate)
	AA						
	AB						
	AC						
	AD						
	AE						

FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

OTHER DOCUMENTS *(Including Author, Title, Date, Pertinent Pages, Etc.)*

		Ker-Chau Li, "Sliced Inverse Regresssion for Dimension Reduction", Journal of the American Statistical Association, June 1991, Vol. 86, No. 414, pp. 316-342.
		Wolfgang Haerdle, et al., "Optimal Smoothing in Single-Index Models", The Annals of Statistics, 1993, Vol. 21, No. 1, 157-178.
		Yingcun Xia, "An Adaptive Estimation of Dimension Reduction Space", J.R. Statist. Soc. B, 2002, Vol. 64, Part 3, pp. 363-410.

EXAMINER**DATE CONSIDERED**

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.